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BEFORE THE ARIZONA CORPORATION COMMISSION

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2008 SEP 12 P 3:04

MIKE GLEASON - Chairman
WILLIAM A. MUNDELL
JEFF HATCH-MILLER
KRISTIN K. MAYES
GARY PIERCE

AZ CORP COMMISSION
DOCKET CONTROL

IN THE MATTER OF:

DOCKET NO. S-20600A-08-0340

MARK W. BOSWORTH and LISA A.
BOSWORTH, husband and wife;

STEPHEN G. VAN CAMPEN and DIANE V.
VAN CAMPEN, husband and wife;

MICHAEL J. SARGENT and PEGGY L.
SARGENT, husband and wife;

ROBERT BORNHOLDT and JANE DOE
BORNHOLDT, husband and wife;

MARK BOSWORTH & ASSOCIATES, LLC,
an Arizona limited liability company;

3 GRINGOS MEXICAN INVESTMENTS, LLC,
an Arizona limited liability company;

Respondents.

Arizona Corporation Commission

DOCKETED

SEP 12 2008

DOCKETED BY

THIRD
PROCEDURAL ORDER

BY THE COMMISSION:

On July 3, 2008, the Securities Division ("Division") of the Arizona Corporation Commission ("Commission") filed a Notice of Opportunity for Hearing ("Notice") against Mark W. Bosworth and Lisa A. Bosworth, husband and wife; Stephen G. Van Campen and Diane V. Van Campen, husband and wife; Michael J. Sargent and Peggy L. Sargent, husband and wife; Robert Bornholdt and Jane Doe Bornholdt, husband and wife; Mark Bosworth & Associates, LLC ("MBA"); and 3 Gringos Mexican Investments, LLC ("3GMI") (collectively "Respondents"), in which the Division alleged multiple violations of the Arizona Securities Act ("Act") in connection with the offer and sale of securities in the form of notes and investment contracts.

1 On August 6, 2008, by Procedural Order, a pre-hearing conference was scheduled for
2 September 18, 2008.

3 On August 15, 2008, Respondents Michael J. Sargent and Peggy L. Sargent filed a 12(b)(6)
4 Motion to Dismiss the Alleged Violations of A.R.S. § 44-1991 ("Motion to Dismiss").

5 On August 21, 2008, Respondents Michael J. Sargent and Peggy L. Sargent filed a Motion to
6 Stay and requested oral argument on the Motion ("Motion to Stay").

7 On August 28, 2008, the Securities Division filed a Motion to Extend Due Date for Response
8 to Respondents Michael J. Sargent and Peggy L. Sargent's 12(b)(6) Motion to Dismiss the Alleged
9 Violations of A.R.S. § 44-1991.

10 On August 28, 2008, Respondents Stephen Van Campen and Diane Van Campen filed a
11 Joinder to the Sargent Respondents' Motion to Stay and also filed a Motion to Quash Subpoena.

12 On September 5, 2008, the Securities Division filed its Response to the Motion to Dismiss
13 and also filed its Response to the Motion to Stay.

14 On September 9, 2008, the Sargent Respondents filed a Notice of Intent to File Reply Briefs
15 in Support of their (1) Motion to Stay and (2) 12(b)(6) Motion to Dismiss.

16 On September 11, 2008, the Securities Division filed its Response to Respondents Van
17 Campen's Motion to Quash Subpoena and also filed its Response to Joinder of Respondents Stephen
18 Van Campen and Diane Van Campen in Respondents Sargents' Motion to Stay Proceedings.

19 The pre-hearing conference previously scheduled for September 18, 2008 will commence at
20 11:30 a.m., at the Commission's offices; however, due to the unavailability of the Administrative
21 Law Judge assigned to hear this matter, no substantive motions will be heard on September 18, 2008.
22 During the September 18, 2008 pre-hearing conference, discussions will be held to schedule a
23 subsequent procedural conference to address the motions filed in this matter. Accordingly, any
24 counsel so desiring may appear telephonically at the September 18, 2008 pre-hearing conference.

25 IT IS THEREFORE ORDERED that counsel/parties may appear telephonically at **the pre-**
26 **hearing conference** scheduled for **September 18, at 11:30 a.m.**, at the Commission's offices, 1200
27 West Washington Street, Conference Room 100, Phoenix, Arizona. Interested parties may
28 participate telephonically by calling **602-771-9965** at the designated time.

IT IS FURTHER ORDERED that no substantive motions will be heard at the September 18, 2008 pre-hearing conference, but at that time, discussion will be held to schedule a subsequent procedural conference to address the motions filed in this matter.

IT IS FURTHER ORDERED that all parties must comply with Rules 31 and 38 of the Rules of the Arizona Supreme Court and A.R.S. § 40-243 with respect to the practice of law and admission *pro hac vice*.

IT IS FURTHER ORDERED that the Ex Parte Rule (A.A.C. R14-3-113—Unauthorized Communications) is in effect and shall remain in effect until the Commission's Decision in this matter is final and non-appealable.

IT IS FURTHER ORDERED that the Presiding Officer may rescind, alter, amend, or waive any portion of this Procedural Order either by subsequent Procedural Order or by ruling at hearing.

Dated this 17th day of September, 2008.


 MARCE E. STERN
 ADMINISTRATIVE LAW JUDGE

Copies of the foregoing were mailed/delivered this 17th day of September, 2008 to:

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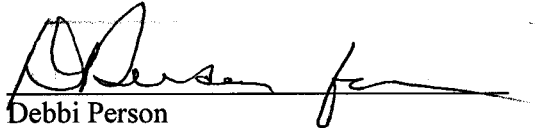
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By:


Debbi Person
Secretary to Marc E. Stern